

Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview

1. **Date of Submission:** 2011-01-19

2. **Agency:** 011

3. **Bureau:** 10

4. **Name of this Investment:** FBI Law Enforcement National Data Exchange Program (NDEx)

5. **Unique Project (Investment) Identifier (UPI):** 011-10-01-03-01-3024-00

6. **What kind of investment will this be in FY 2012?:** Mixed Life Cycle

- Planning
- Full Acquisition
- Operations and Maintenance
- Mixed Life Cycle
- Multi-Agency Collaboration

7. **What was the first budget year this investment was submitted to OMB?** FY2009

8.

- a. **Provide a brief summary of the investment and justification, including a brief description of how this closes in part or in whole an identified agency performance gap, specific accomplishments expected by the budget year and the related benefit to the mission, and the primary beneficiary(ies) of the investment.**

N-DEX provides the solution for national information sharing. N-DEX offers services and capabilities through secure collection and processing of criminal justice data for legally authorized law enforcement, criminal justice, counterterrorism, public safety, homeland security, and/or national security purposes. The N-DEX system is the result of collaboration among local, county, state, tribal and federal law enforcement communities to establish a secure, national, criminal justice information sharing capability at the sensitive but unclassified level. Increment 3, completed in the fall of 2010, will provide "Google-like" search capability with the same refined results and much less effort by the user, continue advancement of integration of N-DEX and OneDOJ, and add probation and parole data and an expansion of web services. Together N-DEX and OneDOJ provide a national scaling system that leverages the advantages of a data repository and has the capability and characteristics of a federated system. The expected law enforcement value is in the application of N-DEX capabilities for providing missing information links and creating partnerships that lead to more effective investigations as depicted in the following success story: Summer 2010-Detectives in Brooklyn, New York responded to a 911 call of a male shot. Further investigative steps determined the motive of the shooting was a dispute over a DVD the perpetrator purchased earlier in the day from the victim. The perpetrator fled on foot through backyards and was apprehended shortly thereafter. In identifying the victim, the Detectives discovered through NCIC that a possible warrant existed for the victim for "Larceny from a Building" with full extradition in Virginia. The Detectives attempted to confirm if the victim was the subject of the warrant. A search for a photograph of the victim in City, State, and Correctional databases revealed that no photograph was on file to confirm the shooting victim was the subject wanted in Virginia. A search of the N-DEX revealed 2 photographs for the victim from previous arrests in Virginia. After reviewing the N-DEXx information, the Detectives were able to identify the victim as the subject wanted in Virginia and he was subsequently taken into custody.

- b. **Provide any links to relevant websites that would be useful to gain additional information on the investment including links to GAO and IG reports.**

| Title | Link |
|-------|------|
| | |

NONE

- 9.
- a. **Provide the date of the Agency's Executive/Investment Committee approval of this investment.**
2010-06-04
 - b. **Provide the date of the most recent or planned approved project charter.** 2007-06-26
10. **Contact information?**
- a. **Program/Project Manager Name:** *
Phone Number: *
Email: *
 - b. **Business Function Owner Name (i.e. Executive Agent or Investment Owner):** Robert C. Rudge, Jr.
Phone Number: *
Email: *

11. What project management qualifications does the Project Manager have? (choose only one per FAC-P/PM or DAWIA): Project manager has been validated according to FAC-P/PM or DAWIA criteria as qualified for this investment.

- Project manager has been validated according to FAC-P/PM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/PM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.B.1: Summary of Funding
(In millions of dollars)

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

| | PY-1 and earlier | PY 2010 | CY 2011 (CY Continuing Resolution) | BY 2012 | BY+1 2013 | BY+2 2014 | BY+3 2015 | BY+4 and beyond | Total |
|---|------------------------|------------|---|------------|--------------|--------------|--------------|-----------------------|-------|
| Planning: | * | * | * | * | * | * | * | * | * |
| Acquisition: | * | * | * | * | * | * | * | * | * |
| Planning & Acquisition Government FTE Costs | * | * | * | * | * | * | * | * | * |
| Subtotal Planning & Acquisition(DME): | * | * | * | * | * | * | * | * | * |
| Operations & Maintenance: | * | * | * | * | * | * | * | * | * |
| Disposition Costs (optional): | * | * | * | * | * | * | * | * | * |
| Operations, Maintenance, Disposition Government FTE Costs | * | * | * | * | * | * | * | * | * |
| Subtotal O&M and Disposition Costs (SS): | * | * | * | * | * | * | * | * | * |
| TOTAL FTE Costs | * | * | * | * | * | * | * | * | * |
| TOTAL (not including FTE costs): | * | * | * | * | * | * | * | * | * |
| TOTAL (including FTE costs): | * | * | * | * | * | * | * | * | * |
| Number of FTE represented by | * | * | * | * | * | * | * | * | * |

Table I.B.1: Summary of Funding
(In millions of dollars)

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

| | PY-1 and earlier | PY 2010 | CY 2011 (CY Continuing Resolution) | BY 2012 | BY+1 2013 | BY+2 2014 | BY+3 2015 | BY+4 and beyond | Total |
|--------|------------------------|------------|---|------------|--------------|--------------|--------------|-----------------------|-------|
| Costs: | | | | | | | | | |

2. Insert the number of years covered in the column “PY-1 and earlier”: 8

3. Insert the number of years covered in the column “BY+4 and beyond”: *

4. If the summary of funding has changed from the FY 2011 President’s Budget request, briefly explain those changes:

*

Section C: Acquisition/Contract Strategy (All Capital Assets)

1.

Table I.C.1 Contracts Table

| Contract Status | Contracting Agency ID | Procurement Instrument Identifier (PIID) | Indefinite Delivery Vehicle (IDV) Reference ID | Solicitation ID | Alternative financing | EVM Required | Ultimate Contract Value (M) | Type of Contract/Task Order (Pricing) | Is the contract a Performance Based Service Acquisition (PBSA)? | Effective date | Actual or expected End Date of Contract/Task Order | Extent Competed | Short description of acquisition |
|-----------------|-----------------------|--|--|-----------------|-----------------------|--------------|-----------------------------|---------------------------------------|---|----------------|--|---------------------------|---------------------------------------|
| Awarded | 1549 | DJFA8D802335 | | | * | * | \$95.0 | Fixed Price Incentive | Y | 2008-02-28 | 2011-06-30 | Y | Development of the CJIS N-DEX system. |
| Awarded | 1549 | GovtAgency(Interagency Agreement) | | | * | * | \$1.8 | Firm Fixed Price | N | 2011-03-30 | 2012-04-15 | Full and Open Competition | Support Services IACP |
| Awarded | 1549 | DJFA0G004085 | GS35F0143L | | * | * | \$0.7 | Firm Fixed Price | N | 2010-03-22 | 2011-03-31 | Y | Index autonomy support services |
| Awarded | 1549 | DJFA1G103666 | GS10F0187T | | * | * | \$0.9 | Time and Materials | N | 2011-01-07 | 2012-01-09 | Y | Maintenance services for N-DEX IEPD |
| Awarded | 1549 | DJFA9G0805110 | GS35F0287T | | * | * | \$1.2 | Time and Materials | Y | 2009-06-20 | 2010-09-30 | Y | 0200-tor#50 cjis ncic support |
| Awarded | 1549 | DJFA0G0805120 | GS00F0003W | | * | * | \$9.7 | Time and Materials | Y | 2010-03-16 | 2011-03-31 | Y | 0200-PMSS tor# 84 Index |

Table I.C.1 Contracts Table

| Contract Status | Contracting Agency ID | Procurement Instrument Identifier (PIID) | Indefinite Delivery Vehicle (IDV) Reference ID | Solicitation ID | Alternative financing | EVM Required | Ultimate Contract Value (M) | Type of Contract/Task Order (Pricing) | Is the contract a Performance Based Service Acquisition (PBSA)? | Effective date | Actual or expected End Date of Contract/Task Order | Extent Completed | Short description of acquisition |
|-----------------|-----------------------|--|--|-----------------|-----------------------|--------------|-----------------------------|---------------------------------------|---|----------------|--|------------------|----------------------------------|
| Awarded | 1549 | DJFM7D701300 | W91QUZ04D0004 | | * | * | \$194.0 | Cost Plus Incentive | N | 2006-11-22 | 2011-09-30 | Y | 0200-hardware/maintenance |
| Awarded | 1549 | DJFA6D605600 | V797049A3GP070 | | * | * | \$536.0 | Firm Fixed Price | N | 2005-10-19 | 2006-09-30 | N | CLIN AA02 O&M MANAGEMENT SERV |
| Awarded | 1549 | DJFA0G0823002 | GS23F8167H | | * | * | \$0.7 | Time and Materials | N | 2010-06-25 | 2011-06-25 | Y | data analysis services-NPI TSS |
| Awarded | 1549 | DJFA0G002329 | GS35F0143L | | * | * | \$0.3 | Firm Fixed Price | N | 2009-12-22 | 2011-01-01 | Y | index autonomy support services |

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

*

3.

- a. Has an Acquisition Plan been developed? If yes, please answer the questions that follow *
- b. Does the Acquisition Plan reflect the requirements of FAR Subpart 7.1 *
- c. Was the Acquisition Plan approved in accordance with agency requirements *
- d. If "yes," enter the date of approval? *
- e. Is the acquisition plan consistent with your agency Strategic Sustainability Performance Plan? *
- f. Does the acquisition plan meet the requirements of EOs 13423 and 13514? *

g. If an Acquisition Plan has not been developed, provide a brief explanation.

*

Part II: IT Capital Investments

Section A: General

1.
 - a. Confirm that the IT Program/Project manager has the following competencies: configuration management, data management, information management, information resources strategy and planning, information systems/network security, IT architecture, IT performance assessment, infrastructure design, systems integration, systems life cycle, technology awareness, and capital planning and investment control. yes
 - b. If not, confirm that the PM has a development plan to achieve competencies either by direct experience or education.
2. Describe the progress of evaluating cloud computing alternatives for service delivery to support this investment. DOJ will include cloud computing (CC) capabilities in its infrastructure when they are mature enough to support DOJ missions and security requirements. Its CC strategy will define a service approach for implementing CC capabilities across DOJ.
3. Provide the date of the most recent or planned Quality Assurance Plan 2010-06-15
4.
 - a. Provide the UPI of all other investments that have a significant dependency on the successful implementation of this investment.
 - b. If this investment is significantly dependent on the successful implementation of another investment(s), please provide the UPI(s).
5. An Alternatives Analysis must be conducted for all Major Investments with Planning and Acquisition (DME) activities and evaluate the costs and benefits of at least three alternatives and the status quo. The details of the analysis must be available to OMB upon request. Provide the date of the most recent or planned alternatives analysis for this investment. 2005-01-21
6. Risks must be actively managed throughout the lifecycle of the investment. The Risk Management Plan and risk register must be available to OMB upon request. Provide the date that the risk register was last updated. 2010-08-05

Section B: Cost and Schedule Performance

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

| Description of Activity | DME or SS | Agency EA Transition Plan Milestone Identifier | Planned Cost (\$M) | Actual Cost (\$M) | Planned Start Date | Actual Start Date | Planned Completion Date | Actual Completion Date | Planned Percent Complete | Actual Percent Complete |
|---|-----------|--|--------------------|-------------------|--------------------|-------------------|-------------------------|------------------------|--------------------------|-------------------------|
| N-DEx Increment 3 ECP 013 | DME | * | \$1.4 | \$1.4 | 2010-03-01 | 2010-03-01 | 2011-01-14 | | 100.00% | 97.00% |
| N-DEx Contract Implementation Review (FY 07) | DME | * | \$3.2 | \$2.4 | 2007-02-28 | 2007-02-28 | 2007-05-02 | 2007-05-02 | 100.00% | 100.00% |
| N-DEx Fee for Contract Award (FY 07) | DME | * | \$0.2 | \$0.2 | 2007-02-28 | 2007-02-28 | 2007-02-28 | 2007-03-22 | 100.00% | 100.00% |
| N-DEx Increment 2 Product Test Readiness Review 2 Documentation and Workoff (FY 08) | DME | * | \$0.4 | \$0.4 | 2008-02-28 | 2008-02-28 | 2009-02-19 | 2009-01-15 | 100.00% | 100.00% |
| N-DEx Increment 2 Site Acceptance Review (FY 08) | DME | * | \$1.4 | \$1.4 | 2008-02-28 | 2008-02-28 | 2009-02-09 | 2009-01-07 | 100.00% | 100.00% |
| N-DEx Increment 2 Mid-Option Year QASP Evaluation-Holdback Settlement (FY 08) | DME | * | \$0.8 | \$0.9 | 2008-02-28 | 2008-02-28 | 2009-01-08 | 2009-02-03 | 100.00% | 100.00% |
| N-DEx Increment 2 Requirements Clarification Review (FY 08) | DME | * | \$9.2 | \$9.2 | 2008-02-28 | 2008-02-28 | 2008-05-29 | 2008-05-02 | 100.00% | 100.00% |
| N-DEx Increment 3 O&M Build 2.5 | DME | * | \$0.9 | \$0.9 | 2009-07-01 | 2009-07-27 | 2010-06-25 | 2010-07-30 | 100.00% | 100.00% |
| N-DEx Increment 3 Drop 4 -Complete/Iteratio | DME | * | \$1.3 | \$1.3 | 2009-07-01 | 2009-07-27 | 2011-01-17 | 2011-03-17 | 100.00% | 100.00% |

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

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|--|-----------|--|--------------------|-------------------|--------------------|-------------------|-------------------------|------------------------|--------------------------|-------------------------|
| n 1-Complete | | | | | | | | | | |
| N-DEx Increment 3 Option Year 2 Award | DME | * | \$0.3 | \$0.3 | 2009-07-01 | 2009-07-27 | 2009-10-30 | 2009-11-23 | 100.00% | 100.00% |
| N-DEx Increment 3 DOJ Trusted Broker Functional Design Review | DME | * | \$0.1 | \$0.1 | 2009-05-29 | 2009-05-29 | 2009-08-11 | 2009-08-11 | 100.00% | 100.00% |
| N-DEx Increment 3 Final Term Option Year 2 QASP Evaluation (Aug 1, 2010-Jan 14,2011) Holdback Settlement | DME | * | \$0.8 | \$0.7 | 2009-07-01 | 2009-07-27 | 2011-05-01 | | 90.00% | 90.00% |
| N-DEx Increment 3 Iteration 2-Operational Acceptance Review Workoff | DME | * | \$0.5 | \$0.5 | 2009-07-01 | 2009-07-27 | 2011-02-11 | 2011-03-16 | 100.00% | 100.00% |
| R-DEx FY 2008 O&M (FY 08) | SS | * | \$13.0 | \$6.8 | 2007-10-01 | 2007-10-01 | 2008-09-30 | 2008-09-30 | 100.00% | 100.00% |
| N-DEx Increment 2 Engineering Change Proposal 2 (FY 07) | DME | * | \$1.8 | \$1.8 | 2008-04-04 | 2008-04-04 | 2008-05-13 | 2008-05-14 | 100.00% | 100.00% |
| N-DEx Engineering Change Proposal 1 (FY 07) | DME | * | \$0.0 | \$0.0 | 2007-09-11 | 2007-09-11 | 2007-09-11 | 2007-09-11 | 100.00% | 100.00% |
| R-DEx Concept Exploration (FY 02) | DME | * | \$0.4 | \$0.1 | 2001-10-01 | 2001-10-01 | 2002-09-30 | 2002-09-30 | 100.00% | 100.00% |
| N-DEx Increment 2 Non-Operational Environment (FY | DME | * | \$0.3 | \$0.3 | 2008-02-28 | 2008-02-28 | 2008-05-04 | 2008-05-05 | 100.00% | 100.00% |

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

| Description of Activity | DME or SS | Agency EA Transition Plan Milestone Identifier | Planned Cost (\$M) | Actual Cost (\$M) | Planned Start Date | Actual Start Date | Planned Completion Date | Actual Completion Date | Planned Percent Complete | Actual Percent Complete |
|--|-----------|--|--------------------|-------------------|--------------------|-------------------|-------------------------|------------------------|--------------------------|-------------------------|
| 08) | | | | | | | | | | |
| N-DEx Increment 2 Requirements Traceability Matrix (FY 08) | DME | * | \$1.0 | \$1.0 | 2008-02-15 | 2008-02-15 | 2008-02-15 | 2008-02-15 | 100.00% | 100.00% |
| N-DEx Increment 3 ECP 010 | DME | * | \$0.0 | \$0.0 | 2009-07-01 | 2009-07-27 | 2009-10-30 | 2009-10-30 | 100.00% | 100.00% |
| N-DEx Increment 3 ECP 007 | DME | * | \$0.0 | \$0.0 | 2009-07-01 | 2009-07-27 | 2009-10-30 | 2009-10-30 | 100.00% | 100.00% |
| N-DEx Increment 3 ECP 006 Autonomy Software | DME | * | \$2.4 | \$2.4 | 2009-05-29 | 2009-05-29 | 2009-07-20 | 2009-07-20 | 100.00% | 100.00% |
| N-DEx Increment 3 ECP 5 Award | DME | * | \$0.0 | \$0.0 | 2009-05-29 | 2009-05-29 | 2009-07-10 | 2009-07-10 | 100.00% | 100.00% |
| N-DEx Increment 3 Iteration 2-System Test Readiness Review Workoff | DME | * | \$0.6 | \$0.6 | 2009-07-01 | 2009-07-27 | 2011-01-07 | 2011-01-14 | 100.00% | 100.00% |
| N-DEx Product Test Readiness Review and Workoff (FY 07) | DME | * | \$2.4 | \$2.4 | 2007-02-28 | 2007-02-28 | 2007-09-17 | 2007-09-17 | 100.00% | 100.00% |
| R-DEx O&M (FY 06) | DME | * | \$2.3 | \$2.3 | 2005-10-01 | 2005-10-01 | 2006-09-30 | 2006-09-30 | 100.00% | 100.00% |
| N-DEx Concept Exploration (FY 06) | DME | * | \$6.7 | \$3.6 | 2005-10-01 | 2005-10-01 | 2006-09-30 | 2006-09-30 | 100.00% | 100.00% |
| N-DEx/R-DEx FY 2004 FTE Cost (FY 04) | DME | * | \$3.4 | \$3.4 | 2003-10-01 | 2003-10-01 | 2004-09-30 | 2004-09-30 | 100.00% | 100.00% |
| N-DEx Increment 2 O&M Point Release (FY 08) | DME | * | \$1.4 | \$1.4 | 2008-02-28 | 2008-02-28 | 2009-02-03 | 2008-12-10 | 100.00% | 100.00% |
| N-DEx Increment | DME | * | \$1.4 | \$1.7 | 2008-02-28 | 2008-02-28 | 2008-10-17 | 2008-11-24 | 100.00% | 100.00% |

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

| Description of Activity | DME or SS | Agency EA Transition Plan Milestone Identifier | Planned Cost (\$M) | Actual Cost (\$M) | Planned Start Date | Actual Start Date | Planned Completion Date | Actual Completion Date | Planned Percent Complete | Actual Percent Complete |
|---|-----------|--|--------------------|-------------------|--------------------|-------------------|-------------------------|------------------------|--------------------------|-------------------------|
| 2 Final Design Review and Workoff (FY 08) | | | | | | | | | | |
| N-DEx Increment 3 Drop 2-Complete | DME | * | \$2.6 | \$2.6 | 2009-07-01 | 2009-07-27 | 2010-02-22 | 2010-02-22 | 100.00% | 100.00% |
| N-DEx Increment 3 Drop 1-Complete | DME | * | \$2.2 | \$2.2 | 2009-07-01 | 2009-07-27 | 2009-12-17 | 2009-12-17 | 100.00% | 100.00% |
| N-DEx Increment 3 DOJ Trusted Broker Functional Acceptance Review | DME | * | \$0.1 | \$0.1 | 2009-05-29 | 2009-05-29 | 2009-11-07 | 2009-11-07 | 100.00% | 100.00% |
| N-DEx Increment 3 ECP 6 Award | DME | * | \$0.0 | \$0.0 | 2009-05-29 | 2009-05-29 | 2009-07-10 | 2009-07-10 | 100.00% | 100.00% |
| N-DEx Increment 2 Final Option Year QASP Evaluation-Holdback Settlement (FY 08) | DME | * | \$1.0 | \$1.0 | 2008-02-28 | 2008-02-28 | 2009-09-02 | 2009-07-08 | 100.00% | 100.00% |
| N-DEx O&M 2015 | SS | * | * | * | 2014-10-01 | * | 2015-09-29 | * | * | * |
| N-DEx Increment 3 Iteration 2-Operational Readiness Review Workoff | DME | * | \$0.5 | \$0.5 | 2009-07-01 | 2009-07-27 | 2011-02-10 | 2011-03-16 | 100.00% | 100.00% |
| R-DEx Follow On (Government and Contractor) (FY 08) | DME | * | \$0.7 | \$0.7 | 2007-10-01 | 2007-10-01 | 2008-09-30 | 2008-09-30 | 100.00% | 100.00% |
| N-DEx Increment 1 System Test Readiness Review and Workoff (FY 07) | DME | * | \$1.2 | \$1.2 | 2007-02-28 | 2007-02-28 | 2007-10-31 | 2007-10-31 | 100.00% | 100.00% |

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| Description of Activity | DME or SS | Agency EA Transition Plan Milestone Identifier | Planned Cost (\$M) | Actual Cost (\$M) | Planned Start Date | Actual Start Date | Planned Completion Date | Actual Completion Date | Planned Percent Complete | Actual Percent Complete |
|--|-----------|--|--------------------|-------------------|--------------------|-------------------|-------------------------|------------------------|--------------------------|-------------------------|
| N-DEx FY 2008 FTE (FY 08) | DME | * | \$4.4 | \$4.4 | 2007-10-01 | 2007-10-01 | 2008-09-30 | 2008-09-30 | 100.00% | 100.00% |
| N-DEx Increment 3 Iteration 2-Site Acceptance Review and Workoff | DME | * | \$0.6 | \$0.6 | 2009-07-01 | 2009-07-27 | 2011-01-05 | 2011-01-27 | 100.00% | 100.00% |
| N-DEx Increment 3 O&M Build 2.3 | DME | * | \$2.6 | \$2.6 | 2009-07-01 | 2009-07-27 | 2010-03-23 | 2010-03-23 | 100.00% | 100.00% |
| N-DEx Increment 3 1st Term Option Year 2 QASP Evaluation-Holdback Settlement | DME | * | \$0.7 | \$0.7 | 2009-07-01 | 2009-07-27 | 2010-12-15 | 2010-06-16 | 100.00% | 100.00% |
| N-DEx Increment 3 1st Material Order | DME | * | \$3.5 | \$3.5 | 2009-07-01 | 2009-07-27 | 2009-11-11 | 2009-11-11 | 100.00% | 100.00% |
| N-DEx PMO of Increment 2 | DME | * | \$1.5 | \$1.5 | 2008-02-28 | 2008-02-28 | 2009-09-29 | 2009-09-30 | 100.00% | 100.00% |
| N-DEx Increment 2 Operational Acceptance Review and Workoff Settlement (FY 08) | DME | * | \$2.3 | \$2.3 | 2008-02-28 | 2008-02-28 | 2009-09-02 | 2009-07-08 | 100.00% | 100.00% |
| N-DEx O&M 2010 | SS | * | \$30.2 | \$20.7 | 2009-10-01 | 2009-10-01 | 2010-09-29 | | 100.00% | 67.00% |
| N-DEx O&M 2009 | SS | * | \$20.2 | \$20.4 | 2008-10-01 | 2008-10-01 | 2009-09-29 | 2009-09-30 | 100.00% | 100.00% |
| N-DEx Increment 3 O&M Build 3.1 | DME | * | \$0.6 | \$0.6 | 2009-07-01 | 2009-07-27 | 2011-03-15 | 2011-04-21 | 100.00% | 100.00% |
| N-DEx Increment 1 Operational Acceptance Review and | DME | * | \$2.0 | \$2.0 | 2007-02-28 | 2007-02-28 | 2008-02-27 | 2008-03-19 | 100.00% | 100.00% |

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

| Description of Activity | DME or SS | Agency EA Transition Plan Milestone Identifier | Planned Cost (\$M) | Actual Cost (\$M) | Planned Start Date | Actual Start Date | Planned Completion Date | Actual Completion Date | Planned Percent Complete | Actual Percent Complete |
|---|-----------|--|--------------------|-------------------|--------------------|-------------------|-------------------------|------------------------|--------------------------|-------------------------|
| Workoff (FY 07) | | | | | | | | | | |
| N-DEx Increment 1 Operational Readiness Review and Workoff (FY 07) | DME | * | \$0.9 | \$0.9 | 2007-02-28 | 2007-02-28 | 2007-12-04 | 2007-12-04 | 100.00% | 100.00% |
| R-DEx O&M (FY 07) | SS | * | \$1.2 | \$1.2 | 2006-10-01 | 2006-10-01 | 2007-09-29 | 2007-09-30 | 100.00% | 100.00% |
| R-DEx Follow On (Government and Contractor) (FY 07) | DME | * | \$4.7 | \$3.9 | 2006-10-01 | 2006-10-01 | 2007-09-30 | 2007-09-30 | 100.00% | 100.00% |
| N-DEx Increment 1 (FY 2006) FTE Cost Paid by Program Funds while under DOJ (FY 06) | DME | * | \$1.8 | \$1.8 | 2005-10-01 | 2005-10-01 | 2006-09-30 | 2006-09-30 | 100.00% | 100.00% |
| R-DEx Development (FY 06) | DME | * | \$26.0 | \$1.9 | 2005-10-01 | 2005-10-01 | 2006-09-30 | 2006-09-30 | 100.00% | 100.00% |
| R-DEx Concept Exploration (FY 03) | DME | * | \$5.0 | \$5.7 | 2002-10-01 | 2002-10-01 | 2003-10-15 | 2003-10-15 | 100.00% | 100.00% |
| N-DEx Increment 2 Product Test Readiness Review 1 Documentation and Workoff (FY 08) | DME | * | \$1.2 | \$1.2 | 2008-02-28 | 2008-02-28 | 2009-01-15 | 2008-12-19 | 100.00% | 100.00% |
| N-DEx Increment 2 Design Concept Review and Workoff (FY 08) | DME | * | \$2.5 | \$2.5 | 2008-02-28 | 2008-02-28 | 2008-07-14 | 2008-06-16 | 100.00% | 100.00% |
| N-DEx Increment 3 Drop 3- 50% Complete | DME | * | \$1.1 | \$1.1 | 2009-07-01 | 2009-07-27 | 2010-12-21 | 2010-12-21 | 100.00% | 100.00% |

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| Description of Activity | DME or SS | Agency EA Transition Plan Milestone Identifier | Planned Cost (\$M) | Actual Cost (\$M) | Planned Start Date | Actual Start Date | Planned Completion Date | Actual Completion Date | Planned Percent Complete | Actual Percent Complete |
|--|-----------|--|--------------------|-------------------|--------------------|-------------------|-------------------------|------------------------|--------------------------|-------------------------|
| N-DEx Increment 3 Internal Baseline Review Workoff | DME | * | \$0.6 | \$0.6 | 2009-07-01 | 2009-07-27 | 2009-11-04 | 2009-11-04 | 100.00% | 100.00% |
| N-DEx O&M 2014 | SS | * | * | * | 2013-10-01 | * | 2014-09-29 | * | * | * |
| N-DEx O&M 2013 | SS | * | * | * | 2012-10-01 | * | 2013-09-29 | * | * | * |
| N-DEx Program Support Tasks (FY 07) | DME | * | \$9.3 | \$10.1 | 2006-10-01 | 2006-10-01 | 2007-09-30 | 2007-09-30 | 100.00% | 100.00% |
| N-DEx Site Acceptance Review (FY 07) | DME | * | \$1.5 | \$1.5 | 2007-02-28 | 2007-02-28 | 2007-09-11 | 2007-09-11 | 100.00% | 100.00% |
| N-DEx Concept Exploration (FY 03) | DME | * | \$5.5 | \$3.5 | 2002-10-01 | 2002-10-01 | 2003-09-30 | 2003-09-30 | 100.00% | 100.00% |
| N-DEx Increment 2 ECP 4 Blade Analysis Study (FY 08) | DME | * | \$0.2 | \$0.3 | 2008-06-02 | 2008-06-02 | 2008-11-19 | 2008-10-27 | 100.00% | 100.00% |
| N-DEx Increment 2 O&M Point Release (FY 08) | DME | * | \$0.9 | \$0.9 | 2008-02-28 | 2008-02-28 | 2008-09-03 | 2008-08-05 | 100.00% | 100.00% |
| N-DEx Increment 3 Drop 5-Complete | DME | * | \$1.2 | \$1.2 | 2009-07-01 | 2009-07-27 | 2011-02-11 | 2011-04-21 | 100.00% | 100.00% |
| N-DEx Increment 3 ECP 002 Correction | DME | * | \$0.0 | \$0.0 | 2009-07-01 | 2009-07-27 | 2009-10-30 | 2009-10-30 | 100.00% | 100.00% |
| N-DEx Increment 3 ECP 009 | DME | * | \$0.0 | \$0.0 | 2009-07-01 | 2009-07-27 | 2009-10-30 | 2009-10-30 | 100.00% | 100.00% |
| N-DEx Increment 3 ECP 008 | DME | * | \$0.0 | \$0.0 | 2009-07-01 | 2009-07-27 | 2009-10-30 | 2009-10-30 | 100.00% | 100.00% |
| N-DEx Increment 2 Operational | DME | * | \$1.8 | \$1.8 | 2008-02-28 | 2008-02-28 | 2009-06-11 | 2009-06-23 | 100.00% | 100.00% |

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

| Description of Activity | DME or SS | Agency EA Transition Plan Milestone Identifier | Planned Cost (\$M) | Actual Cost (\$M) | Planned Start Date | Actual Start Date | Planned Completion Date | Actual Completion Date | Planned Percent Complete | Actual Percent Complete |
|---|-----------|--|--------------------|-------------------|--------------------|-------------------|-------------------------|------------------------|--------------------------|-------------------------|
| Readiness Review and Workoff (FY 08) | | | | | | | | | | |
| N-DEx FY 2010 Program Support Tasks | DME | * | \$0.8 | \$0.8 | 2009-10-01 | 2009-10-01 | 2010-09-30 | 2010-09-30 | 100.00% | 100.00% |
| N-DEx Increment 3 Option Year 2/Increment 3 Complete | DME | * | \$1.2 | \$1.2 | 2009-07-01 | 2009-07-27 | 2011-04-22 | | 100.00% | 99.00% |
| N-DEx Increment 1 (FY 2007) FTE Cost *Paid by Program Funds while under DOJ | DME | * | \$2.1 | \$2.1 | 2006-10-01 | 2006-10-01 | 2007-09-30 | 2007-09-30 | 100.00% | 100.00% |
| N-DEx/R-DEx Concept Exploration (FY 05) | DME | * | \$24.7 | \$7.9 | 2004-10-01 | 2004-10-01 | 2005-09-30 | 2005-09-30 | 100.00% | 100.00% |
| N-DEx/R-DEx FY 2003 FTE Cost (FY 03) | DME | * | \$0.6 | \$0.6 | 2002-10-01 | 2002-10-01 | 2003-09-30 | 2003-09-30 | 100.00% | 100.00% |
| N-DEx Increment 2 System Test Readiness Review Workoff (FY 08) | DME | * | \$2.1 | \$2.1 | 2008-02-28 | 2008-02-28 | 2009-05-06 | 2009-04-08 | 100.00% | 100.00% |
| N-DEx Increment 2 Product Test Readiness Review 3 Documentation and Workoff (FY 08) | DME | * | \$0.4 | \$0.4 | 2008-02-28 | 2008-02-28 | 2009-03-19 | 2009-04-06 | 100.00% | 100.00% |
| N-DEx Increment 2 Internal Baseline Review (FY 08) | DME | * | \$1.5 | \$1.4 | 2008-02-28 | 2008-02-28 | 2008-06-25 | 2008-06-18 | 100.00% | 100.00% |

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

| Description of Activity | DME or SS | Agency EA Transition Plan Milestone Identifier | Planned Cost (\$M) | Actual Cost (\$M) | Planned Start Date | Actual Start Date | Planned Completion Date | Actual Completion Date | Planned Percent Complete | Actual Percent Complete |
|---|-----------|--|--------------------|-------------------|--------------------|-------------------|-------------------------|------------------------|--------------------------|-------------------------|
| N-DEx Option Year 1 Award (FY 08) | DME | * | \$0.4 | \$0.4 | 2008-02-28 | 2008-02-28 | 2008-02-28 | 2008-03-17 | 100.00% | 100.00% |
| N-DEx Increment 3 2nd Term Option Year 2 QASP Evaluation (Feb 1-Jul 31, 2010) Holdback Settlement | DME | * | \$0.6 | \$0.6 | 2009-07-01 | 2009-07-27 | 2010-12-15 | 2011-04-25 | 100.00% | 100.00% |
| N-DEx Increment 3 O&M Build 2.4 | DME | * | \$1.1 | \$1.1 | 2009-07-01 | 2009-07-27 | 2010-05-12 | 2010-05-12 | 100.00% | 100.00% |
| N-DEx Increment 3 2nd Material Order | DME | * | \$1.8 | \$1.8 | 2009-07-01 | 2009-07-27 | 2010-01-27 | 2010-01-27 | 100.00% | 100.00% |
| N-DEx Increment 3 ECP 012 | DME | * | \$0.2 | \$0.2 | 2009-12-01 | 2009-12-01 | 2010-03-01 | 2010-03-12 | 100.00% | 100.00% |
| N-DEx O&M 2012 | SS | * | \$41.6 | \$0.0 | 2011-10-01 | | 2012-09-29 | | 0.00% | 0.00% |
| N-DEx O&M 2011 | SS | * | \$29.0 | \$2.3 | 2010-10-01 | 2010-10-01 | 2011-09-29 | | 75.00% | 8.00% |
| N-DEx Holdback Settlement (FY 07) | DME | * | \$1.4 | \$1.4 | 2007-02-28 | 2007-02-28 | 2008-09-30 | 2008-04-04 | 100.00% | 100.00% |
| N-DEx Post-CDR Hardware Procurement (FY 07) | DME | * | \$9.6 | \$9.6 | 2007-02-28 | 2007-02-28 | 2007-09-30 | 2007-08-13 | 100.00% | 100.00% |
| N-DEx Increment 1 Final Design Review and Workoff (FY 07) | DME | * | \$2.3 | \$2.3 | 2007-02-28 | 2007-02-28 | 2007-05-30 | 2007-05-30 | 100.00% | 100.00% |
| N-DEx/R-DEx FY 2005 FTE Cost (FY 05) | DME | * | \$3.6 | \$3.6 | 2004-10-01 | 2004-10-01 | 2005-09-30 | 2005-09-30 | 100.00% | 100.00% |
| N-DEx/R-DEx | DME | * | \$9.7 | \$15.7 | 2003-10-01 | 2003-10-01 | 2004-09-30 | 2004-09-30 | 100.00% | 100.00% |

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

| Description of Activity | DME or SS | Agency EA Transition Plan Milestone Identifier | Planned Cost (\$M) | Actual Cost (\$M) | Planned Start Date | Actual Start Date | Planned Completion Date | Actual Completion Date | Planned Percent Complete | Actual Percent Complete |
|--|-----------|--|--------------------|-------------------|--------------------|-------------------|-------------------------|------------------------|--------------------------|-------------------------|
| Concept Exploration (FY 04) | | | | | | | | | | |
| N-DEx Increment 2 ECP 3 Record View Automated Process (FY 08) | DME | * | \$1.9 | \$1.4 | 2008-06-03 | 2008-06-03 | 2008-10-21 | 2008-08-13 | 100.00% | 100.00% |
| N-DEx Program Support Tasks (FY 08) | DME | * | \$8.1 | \$4.8 | 2008-02-28 | 2008-02-28 | 2008-09-30 | 2008-09-30 | 100.00% | 100.00% |
| N-DEx Increment 2 Critical Design Review and Workoff (FY 08) | DME | * | \$7.5 | \$7.8 | 2008-02-28 | 2008-02-28 | 2008-09-18 | 2008-09-25 | 100.00% | 100.00% |
| N-DEx Increment 3 Submission of Iteration 2-RCR/DCR/PDR /CDR Workoff | DME | * | \$1.5 | \$1.5 | 2009-07-01 | 2009-07-27 | 2010-08-20 | 2010-10-15 | 100.00% | 100.00% |
| N-DEx Increment 3 3rd Material Order | DME | * | \$2.1 | \$2.1 | 2009-07-01 | 2009-07-27 | 2010-04-06 | 2010-07-30 | 100.00% | 100.00% |
| N-DEx Increment 3 O&M Build 2.2 | DME | * | \$1.4 | \$1.4 | 2009-07-01 | 2009-07-27 | 2010-01-13 | 2010-01-13 | 100.00% | 100.00% |
| N-DEx Increment 3 O&M Build 2.1 | DME | * | \$0.6 | \$0.6 | 2009-07-01 | 2009-07-27 | 2009-11-04 | 2009-11-04 | 100.00% | 100.00% |
| N-DEx Increment 3 DOJ Trusted Broker O&M Point Release | DME | * | \$0.1 | \$0.1 | 2009-05-29 | 2009-05-29 | 2009-11-17 | 2009-11-17 | 100.00% | 100.00% |
| N-Dex Increment 3 Complete Rolling Wave Iteration 2 | DME | * | \$0.5 | \$0.5 | 2010-04-05 | 2010-04-05 | 2010-08-31 | 2010-09-30 | 100.00% | 100.00% |
| N-Dex Increment 3 ECP 14 | DME | * | \$1.0 | \$1.0 | 2010-04-27 | 2010-04-27 | 2010-12-30 | 2010-12-30 | 100.00% | 100.00% |

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

| Description of Activity | DME or SS | Agency EA Transition Plan Milestone Identifier | Planned Cost (\$M) | Actual Cost (\$M) | Planned Start Date | Actual Start Date | Planned Completion Date | Actual Completion Date | Planned Percent Complete | Actual Percent Complete |
|--|-----------|--|--------------------|-------------------|--------------------|-------------------|-------------------------|------------------------|--------------------------|-------------------------|
| N-Dex O&M 2016 | SS | * | * | * | 2015-10-01 | * | 2016-09-30 | * | * | * |
| N-DEx ECP 15 | DME | * | \$14.3 | \$0.0 | 2011-02-01 | 2011-03-24 | 2011-12-31 | | 25.00% | 0.00% |
| N-DEx Increment 3 Drop 3-100% complete | DME | * | \$1.1 | \$1.1 | 2009-07-01 | 2009-07-27 | 2010-12-21 | 2011-03-17 | 100.00% | 100.00% |

2. If the investment cost, schedule, or performance variances are not within 10 percent of the current baseline, provide a complete analysis of the reasons for the variances, the corrective actions to be taken, and the most likely estimate at completion.

3. For mixed lifecycle or operations and maintenance investments an Operational Analysis must be performed annually. Operational analysis may identify the need to redesign or modify an asset by identifying previously undetected faults in design, construction, or installation/integration, highlighting whether actual operation and maintenance costs vary significantly from budgeted costs, or documenting that the asset is failing to meet program requirements. The details of the analysis must be available to OMB upon request. Insert the date of the most recent or planned operational analysis.
2010-11-01

4. Did the Operational analysis cover all 4 areas of analysis: Customer Results, Strategic and Business Results, Financial Performance, and Innovation?
no

Section C: Financial Management Systems

| Table II.C.1: Financial Management Systems | | | |
|--|----------------|--------------------------|------------|
| System(s) Name | System acronym | Type of Financial System | BY Funding |
| * | * | * | * |

Section D: Multi-Agency Collaboration Oversight (For Multi-Agency Collaborations only)

| Table II.D.1. Customer Table: | |
|-------------------------------|-----------------------------|
| Customer Agency | Joint exhibit approval date |
| NONE | |

| Table II.D.2. Shared Service Providers | | |
|--|----------------------------|--|
| Shared Service Provider (Agency) | Shared Service Asset Title | Shared Service Provider Exhibit 53 UPI (BY 2011) |
| * | * | * |

| Table II.D.3. For IT Investments, Partner Funding Strategies (\$millions): | | | | | | | |
|--|----------------------------------|--------------------------|---------------------------|--------------------|--------------------------|---------------------------|--------------------|
| Partner Agency | Partner exhibit 53 UPI (BY 2012) | CY Monetary Contribution | CY “In-Kind” Contribution | CY Fee-for-Service | BY Monetary Contribution | BY “In-Kind” Contribution | BY Fee-for-Service |
| NONE | | | | | | | |

| Table II.D.4. Legacy Systems Being Replaced | | |
|---|-------------|-------------------------------|
| Name of the Legacy Investment of Systems | Current UPI | Date of the System Retirement |
| * | * | * |

Section E: Performance Information

Table I.E.1a. Performance Metric Attributes

| Measurement Area (For IT Assets) | Measurement Grouping (For IT Assets) | Measurement Indicator | Reporting Frequency | Unit of Measure | Performance Measure Direction | Baseline | Year Baseline Established for this measure (Origination Date) |
|-------------------------------------|---|---|---------------------|-------------------------------------|---|--|--|
| Processes and Activities | Participation | Number of states/agencies participating in N-DEX Increment 2. | annual | Number | Up | Baseline of 13 states/agencies participating at end of FY08. | 2008-10-01 |
| | | | Fiscal Year | Target | Actual Results | Target "Met" or "Not Met" | Last Updated |
| | | | 2009 | Increase by 14 new agencies | N-DEX has 15 systems participating representing over 650 individual agencies. | Met | 2011-02-23 |
| Processes and Activities | Participation | Number of states/agencies participating in N-DEX. | annual | Number | Up | Anticipate 47 states/agencies participating in N-DEX. | 2009-10-01 |
| | | | Fiscal Year | Target | Actual Results | Target "Met" or "Not Met" | Last Updated |
| | | | 2012 | Increase by 10 new states/agencies. | TBD | Not Due | 2011-02-23 |
| Processes and Activities | Participation | Number of states/agencies participating in N-DEX. | annual | Number | Up | Anticipate 57 states/agencies participating in N-DEX. | 2009-10-01 |
| | | | Fiscal Year | Target | Actual Results | Target "Met" or "Not Met" | Last Updated |
| | | | 2013 | Increase by 5 new state/agencies. | TBD | Not Due | 2011-02-23 |
| Processes and Activities | Participation | Number of states/agencies participating in N-DEX. | annual | Number | Up | Anticipate 62 states/agencies participating in N-DEX. | 2009-10-01 |
| | | | Fiscal Year | Target | Actual Results | Target "Met" or "Not Met" | Last Updated |

| | | | | | | | |
|------------------------------|-----------------------|--|-------------|---|---|---|--------------|
| | | | | | | | |
| | | | 2014 | Increase by 5 new states/agencies. | TBD | Not Due | 2011-02-23 |
| Processes and Activities | Participation | Number of states/agencies participating in N-DEX. | annual | Number | Maintain | Anticipate 67 states/agencies participating in N-DEX. | 2009-10-01 |
| | | | Fiscal Year | Target | Actual Results | Target "Met" or "Not Met" | Last Updated |
| | | | 2015 | Maintain states/agencies participation. | TBD | Not Due | 2011-02-23 |
| Mission and Business Results | Criminal Apprehension | Number of states/agencies that have signed MOU to contribute information and participate in the operational N-DEX. | annual | Number | Up | Baseline of 21 states/agencies that have signed MOUs. | 2008-10-01 |
| | | | Fiscal Year | Target | Actual Results | Target "Met" or "Not Met" | Last Updated |
| | | | 2009 | Increase by 16 MOUs. | N-DEX has 33 states/agencies that have signed MOUs as of 9/30/09. | Met | 2011-02-23 |
| Processes and Activities | Participation | Number of states/agencies participating in N-DEX. | annual | Number | Up | Baseline 15 states/agencies participating in N-DEX Increment 2. | 2009-10-01 |
| | | | Fiscal Year | Target | Actual Results | Target "Met" or "Not Met" | Last Updated |
| | | | 2010 | Increase by 10 new states/agencies. | Target is 25; N-DEX has 24 states/agencies participating representing over 3,200 individual agencies. | Met | 2011-02-23 |
| Processes and Activities | Participation | Number of states/agencies participating in N-DEX Increment 1. | annual | Number | Up | Baseline of 7 states/agencies participating in N-DEX Increment 1. | 2007-10-01 |

| | | | Fiscal Year | Target | Actual Results | Target "Met" or "Not Met" | Last Updated |
|------------------------------|-----------------------|--|-------------|--|---|--|--------------|
| Processes and Activities | Participation | Number of states/agencies participating in N-DEx. | 2008 | Increase by 10 new state/agencies. | N-DEx has 13 state/agencies participating in N-DEx as of 9/30/08. | Met | 2011-02-23 |
| | | | annual | Number | Up | Anticipate 37 state/agencies participating in N-DEx. | 2009-10-01 |
| | | | Fiscal Year | Target | Actual Results | Target "Met" or "Not Met" | Last Updated |
| Mission and Business Results | Criminal Apprehension | Number of states/agencies that have signed MOU to contribute information and participate in the operational N-DEx. | 2011 | Increase by 10 new state/agencies. | TBD | Not Due | 2011-02-23 |
| | | | annual | Number | Up | Baseline of 7 state/agencies that have signed MOUs. | 2007-10-01 |
| | | | Fiscal Year | Target | Actual Results | Target "Met" or "Not Met" | Last Updated |
| Mission and Business Results | Criminal Apprehension | Number of total N-DEx system searches per fiscal year. | 2008 | Increase by 5 MOUs. | N-DEx has 21 state/agencies that have signed MOUs as of 9/30/08. | Met | 2011-02-23 |
| | | | annual | Number | Up | Anticipate 500,000 N-DEx system searches at the end of fiscal year | 2010-10-01 |
| | | | Fiscal Year | Target | Actual Results | Target "Met" or "Not Met" | Last Updated |
| Mission and Business Results | Criminal Apprehension | Number of total N-DEx system searches per fiscal year. | 2011 | Target is 500,000 total fiscal year N-DEx system searches. | TBD | Not Due | 2011-02-23 |
| | | | annual | Number | Up | Anticipate 750,000 N-DEx system searches at the end of fiscal year | 2010-10-01 |
| | | | Fiscal Year | Target | Actual Results | Target "Met" or "Not Met" | Last Updated |

| | | | | | | | |
|------------------------------|-----------------------|--|-------------|--|---|--|--------------|
| Mission and Business Results | Criminal Apprehension | Number of total N-DEx system searches per fiscal year. | | | | | |
| | | | 2012 | Target is 750,000 total fiscal year N-DEx system searches. | TBD | Not Due | 2011-02-23 |
| | | | annual | Number | Up | Anticipate 1,000,000 N-DEx system searches at the end of fiscal year | 2010-10-01 |
| | | | Fiscal Year | Target | Actual Results | Target "Met" or "Not Met" | Last Updated |
| | | | 2013 | Target is 1,000,000 total fiscal year N-DEx system searches. | TBD | Not Due | 2011-02-23 |
| Mission and Business Results | Criminal Apprehension | Number of total N-DEx system searches per fiscal year. | annual | Number | Up | Anticipate 1,250,000 N-DEx system searches at the end of fiscal year | 2010-10-01 |
| | | | Fiscal Year | Target | Actual Results | Target "Met" or "Not Met" | Last Updated |
| | | | 2014 | Target is 1,250,000 total fiscal year N-DEx system searches. | TBD | Not Due | 2011-02-23 |
| | | | annual | Number | Up | Anticipate 1,500,000 N-DEx system searches at the end of fiscal year | 2010-10-01 |
| | | | Fiscal Year | Target | Actual Results | Target "Met" or "Not Met" | Last Updated |
| Mission and Business Results | Criminal Apprehension | Number of total N-DEx system searches per fiscal year. | 2015 | Target is 1,500,000 total fiscal year N-DEx system searches. | TBD | Not Due | 2011-02-23 |
| | | | annual | Percentage | Up | Baseline will be established at the end of fiscal year 2010. | 2010-10-01 |
| | | | Fiscal Year | Target | Actual Results | Target "Met" or "Not Met" | Last Updated |
| | | | 2010 | Initial Target is 13,900 total fiscal year N-DEx | N-Dex recorded 318,069 system searches for FY | Met | 2011-02-23 |
| | | | | | | | |

| | | | | | | | |
|------------------|-----------------------|---|------------------|---|---|---|--------------|
| | | | system searches. | 2010. | | | |
| Customer Results | Customer Satisfaction | Percentage of LE customers rate the benefit and satisfaction of the information sharing services | annual | Percentage | Up | Establish the initial N-DEx role based LE satisfaction survey process | 2007-10-01 |
| | | | Fiscal Year | Target | Actual Results | Target "Met" or "Not Met" | Last Updated |
| | | | 2008 | Initial Target for N-DEx is 55% of customers rating adequate or higher. | The customer survey results indicate that 94% of the respondents would recommend the N-DEx system to others. | Met | 2011-02-23 |
| Customer Results | Customer Satisfaction | Percentage of LE customers rate the benefit and satisfaction of the information sharing services. | annual | Percentage | Up | Anticipate N-DEx 60% of customers rating adequate or higher. | 2007-10-01 |
| | | | Fiscal Year | Target | Actual Results | Target "Met" or "Not Met" | Last Updated |
| | | | 2010 | Increase by 5%. | The Target was 65%. N-Dex reported 71.9% of customers surveyed rated the benefits and satisfaction of the N-Dex services at adequate or higher. | Met | 2011-02-23 |
| Customer Results | Customer Satisfaction | Percentage of LE customers rate the benefit and satisfaction of the information sharing services. | annual | Percentage | Up | Anticipate N-DEx 65% of customers rating adequate or higher | 2007-10-01 |
| | | | Fiscal Year | Target | Actual Results | Target "Met" or "Not Met" | Last Updated |
| | | | 2011 | Increase by 5%. | TBD | Not Due | 2011-02-23 |
| Customer Results | Customer Satisfaction | Percentage of LE | annual | Percentage | Up | Anticipate N-DEx 70% of | 2007-10-01 |

| | | | | | | | |
|------------------|-----------------------|---|-------------|-----------------|----------------|--|--------------|
| | | customers rate the benefit and satisfaction of the information sharing services. | | | | customers rating adequate or higher. | |
| | | | Fiscal Year | Target | Actual Results | Target "Met" or "Not Met" | Last Updated |
| | | | 2012 | Increase by 5%. | TBD | Not Due | 2011-02-23 |
| Customer Results | Customer Satisfaction | Percentage of LE customers rate the benefit and satisfaction of the information sharing services. | annual | Percentage | Up | Anticipate N-DEx 75% of customers rating adequate or higher. | 2007-10-01 |
| | | | Fiscal Year | Target | Actual Results | Target "Met" or "Not Met" | Last Updated |
| | | | 2013 | Increase by 5%. | TBD | Not Due | 2011-02-23 |
| Customer Results | Customer Satisfaction | Percentage of LE customers rate the benefit and satisfaction of the information sharing services. | annual | Percentage | Up | Anticipate N-DEx 80% of customers rating adequate or higher. | 2007-10-01 |
| | | | Fiscal Year | Target | Actual Results | Target "Met" or "Not Met" | Last Updated |
| | | | 2014 | Increase by 5%. | TBD | Not Due | 2011-02-23 |
| Customer Results | Customer Satisfaction | Percentage of LE customers rate the benefit and satisfaction of the information sharing services. | annual | Percentage | Up | Anticipate N-DEx 85% of customers rating adequate or higher. | 2007-10-01 |
| | | | Fiscal Year | Target | Actual Results | Target "Met" or "Not Met" | Last Updated |
| | | | 2015 | Increase by 5%. | TBD | Not Due | 2011-02-23 |

| Customer Results | Customer Satisfaction | Percentage of LE customers rate the benefit and satisfaction of the information sharing services. | annual | Percentage | Up | Anticipate N-DEx 55% of customers rating adequate or higher. | 2007-10-01 |
|------------------|-----------------------|--|-------------|--------------------------------|--|---|--------------|
| | | | Fiscal Year | Target | Actual Results | Target "Met" or "Not Met" | Last Updated |
| | | | 2009 | Increase by 5% | The customer survey results indicate an average score of 76% on user satisfaction with system performance. | Met | 2011-02-23 |
| Technology | Availability | Percentage of time the N-DEx System is available to the nations law enforcement to enhance their ability to execute their mission. | annual | Percentage | Maintain | Improve to providing the technology service 99.5% availability. | 2007-10-01 |
| | | | Fiscal Year | Target | Actual Results | Target "Met" or "Not Met" | Last Updated |
| | | | 2011 | Maintain performance standard. | TBD | Not Due | 2011-02-23 |
| Technology | Availability | Percentage of time the N-DEx System is available to the nations LE to enhance their ability to execute their mission. | annual | Percentage | Maintain | Maintain providing the technology service 99.5% availability. | 2007-10-01 |
| | | | Fiscal Year | Target | Actual Results | Target "Met" or "Not Met" | Last Updated |
| | | | 2012 | Maintain performance standard. | TBD | Not Due | 2011-02-23 |
| | | | 2013 | Maintain performance standard. | TBD | Not Due | 2011-02-23 |
| | | | 2014 | Maintain performance standard. | TBD | Not Due | 2011-02-23 |
| | | | 2015 | Maintain performance | TBD | Not Due | 2011-02-23 |

| | | | | | | | |
|------------|--------------|---|-------------|---|---|--|--------------|
| | | | | standard. | | | |
| Technology | Availability | Percentage of time the system is available to LE users. | annual | Percentage | Up | Maintain operational availability and reliability at 90% or better. | 2007-10-01 |
| | | | Fiscal Year | Target | Actual Results | Target "Met" or "Not Met" | Last Updated |
| | | | 2009 | Increase by 5%. | 99.57% availability between supported hours of 8:00 am - 8:00 pm ET, M-F through 7/19/09 and 24/7 from 7/20/09 through 9/30/09. | Met | 2011-02-23 |
| Technology | Availability | Percentage of time the systems are available to the LE users. | annual | Percentage | Up | Improve to providing the technology service 95% availability. | 2007-10-01 |
| | | | Fiscal Year | Target | Actual Results | Target "Met" or "Not Met" | Last Updated |
| | | | 2010 | Increase by 4.5% | Target is 95%. N-Dex reported 99.96% availability for FY 2010. | Met | 2011-02-23 |
| Technology | Availability | Percentage of time the systems are available to the LE users. | annual | Percentage | Up | Anticipate N-DEx initial baseline of providing 90% operational availability and reliability. | 2007-10-01 |
| | | | Fiscal Year | Target | Actual Results | Target "Met" or "Not Met" | Last Updated |
| | | | 2008 | Maintain operational availability and reliability at 90%. | 99.75% availability between supported hours of 8:00 am - 8:00 pm ET, M-F, as of 9/30/08. | Met | 2011-02-23 |

* - Indicates data is redacted.